

P25239.P01

**UTILITY
PATENT APPLICATION
TRANSMITTAL**

(Only for new nonprovisional applications under 37 CFR 1.53(b))

042204
13281 U.S. PTO

Attorney Docket No.	P25239	Total Pages	
Inventor(s) or Application Identifier			
Atsushi KOYAMA, Junichi IDE, Mikio DOMON and Mamoru USAMI			
Title: INFORMATION RECORDING MEDIUM PREFORM, METHOD OF MANUFACTURING AN INFORMATION RECORDING MEDIUM, AND MANUFACTURING APPARATUS FOR AN INFORMATION RECORDING MEDIUM			

ADDRESS TO:

Commissioner for Patents
Mail Stop Patent Application
PO Box 1450
Alexandria, VA 22313-1450

20278 10829265 U.S. PTO 042204

APPLICATION ELEMENTS		ACCOMPANYING APPLICATION PARTS	
1. <input checked="" type="checkbox"/> Fee Transmittal Form (Submit an original, and a duplicate for fee processing)		9. <input checked="" type="checkbox"/> Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)	
2. <input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27.		a. <input type="checkbox"/> Computer Readable Form (CRF)	
a. <input type="checkbox"/> Small Entity Statement(s)		b. <input type="checkbox"/> Specification Sequence Listing on: <ul style="list-style-type: none"> i. <input type="checkbox"/> CD-ROM or CD-R (2 copies); or ii. <input type="checkbox"/> paper 	
3. <input checked="" type="checkbox"/> Specification (preferred arrangement set forth below) <ul style="list-style-type: none"> - Descriptive title of the Invention - Cross References to Related Applications - Statement Regarding Fed sponsored R & D - Reference to sequence listing, a table, or a computer program listing appendix - Background of the Invention - Brief Summary of the Invention - Brief Description of the Drawings (if filed) - Detailed Description - Claim(s) - Abstract of the Disclosure 	[Total Pages 33]	c. <input type="checkbox"/> Statements verifying identity of above copies	
4. <input checked="" type="checkbox"/> Drawing(s) (35 USC 113)	[Total Sheets 4]	10. <input checked="" type="checkbox"/> Assignment Papers (cover sheet & document(s))	
5. <input checked="" type="checkbox"/> Oath or Declaration	[Total Pages 4]	11. <input type="checkbox"/> 37 CFR 3.73(b) Statement <input type="checkbox"/> Power of Attorney (when there is an assignee)	
a. <input checked="" type="checkbox"/> Newly executed (original or copy) <input type="checkbox"/> Unexecuted		12. <input type="checkbox"/> English Translation Document (if applicable)	
b. <input type="checkbox"/> Copy from a prior application (37 CFR 1.63(d)) (for continuation/divisional with Box 20 completed) [Note Box 6 below]		13. <input type="checkbox"/> Information Disclosure Statement (IDS)/PTO-1449 <input type="checkbox"/> Copies of IDS Citations	
i. <input type="checkbox"/> DELETION OF INVENTOR(S) Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).		14. <input type="checkbox"/> Preliminary Amendment	
6. <input type="checkbox"/> Incorporation By Reference (useable if Box 5b is checked) The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 5b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.		15. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503) (Should be specifically itemized)	
7. <input type="checkbox"/> Application Data Sheet. See 37 CFR 1.76		16. <input checked="" type="checkbox"/> Figure of Drawing to be published 11	
8. <input type="checkbox"/> CD-Rom or CD-R in duplicate, large table or Computer Program (Appendix)		17. <input checked="" type="checkbox"/> Foreign priority claimed <ul style="list-style-type: none"> a. <input checked="" type="checkbox"/> Claim of Priority b. <input checked="" type="checkbox"/> Certified Copy of Priority Document(s) 	
18. <input type="checkbox"/> Nonpublication Request under 35 U.S.C. 122 (b) (2) (B) (i). Applicant must attach form PTO/SB/35 or its equivalent.		19. <input checked="" type="checkbox"/> Assignee: TDK Corporation of Tokyo, Japan	
20. <input type="checkbox"/> Other: _____ _____ _____ _____		21. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information below and in the first sentence of the specification following the title, or in an Application Data Sheet under 37 C.F.R. 1.76: <input type="checkbox"/> Continuation <input type="checkbox"/> Divisional <input type="checkbox"/> Continuation-in-part (CIP) of prior Application No. _____ filed _____. Prior application information: Examiner: _____ Group Art Unit: _____	

21. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information below and in the first sentence of the specification following the title, or in an Application Data Sheet under 37 C.F.R. 1.76:

 Continuation Divisional Continuation-in-part (CIP) of prior Application No. _____ filed _____.
Prior application information: Examiner: _____ Group Art Unit: _____

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4/22/04
Date

Lester H. Bernstein May 16
Signature
Bruce H. Bernstein, Reg. No. 29,027
Typed or Printed Name 33,329

P25239.P02

13281
U.S. PTO

TOTAL AMOUNT OF PAYMENT (\$810.00)

Complete if Known

Application Number	Not Yet Assigned
Filing Date	Concurrently Herewith
First Named Inventor	Atsushi KOYAMA et al.
Group Art Unit	Unassigned
Examiner Name	Unassigned

FEE TRANSMITTAL

METHOD OF PAYMENT (check one)

FEE CALCULATION (continued)

1. The U.S Patent and Trademark Office is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number 19-0089Deposit Account Name GREENBLUM & BERNSTEIN, P.L.C.

- Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17, including any required extension of time fees in any concurrent or future reply requiring a petition for extension of time for its timely submission (37 CFR 1.136(a)(3)) Charge the Issue Fee Set in 37 CFR 1.18 at the Mailing of the Notice of Allowance, 37 CFR 1.311(b) Applicant Claims Small Entity Status See 37 CFR 1.27.

2. Payment Enclosed:
 Check Credit Card Money Order Other

FEE CALCULATION (fees effective 10/01/03)

1. FILING FEE

Large Entity Fee Code (\$)	Entity Fee	Small Entity Fee Code (\$)	Fee Description	Fee Paid
1001	770	2001	385 Utility filing fee	<u>770.00</u>
1002	340	2002	170 Design filing fee	
1003	530	2003	265 Plant filing fee	
1004	770	2004	385 Reissue filing fee	
1005	160	2005	80 Provisional filing fee	

SUBTOTAL (1) (\$ 770.00)

2. CLAIMS

Total Claims	-20=	Extra	Fee from below	=	Fee Paid
8	-20=	0	x 18	=	0
Independent 3	-3=	0	x 86	=	0
Claims					
Multiple Dependent Claims		0	x 290	=	0

Large Entity Fee Code (\$)	Entity Fee	Small Entity Fee Code (\$)	Fee Description
1202	18	2202	9 Claims in excess of 20
1201	86	2201	43 Independent claims in excess of 3
1203	290	2203	145 Multiple dependent claim
1204	86	2204	43 Reissue independent claims over original patent
1205	18	2205	9 Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$ 0.00)

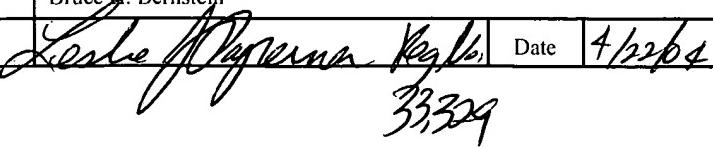
3. ADDITIONAL FEES

Large Entity Fee Code (\$)	Entity Fee	Small Entity Fee Code (\$)	Fee Description	Fee Paid
1051	130	2051	65 Surcharge - late filing fee or oath	
1052	50	2052	25 Surcharge - late provisional filing fee or cover sheet.	
1053	130	1053	130 Non-English specification	
1812	2,520	1812	2,520 For filing a request for reexamination	
1804	920*	1804	920* Requesting publication of SIR	
1805	1,840*	1805	1,840* Prior to Examiner action	
1251	110	2251	55 Requesting publication of SIR after Examiner action	
1252	420	2252	210 Extension for response within 1st month	
1253	950	2253	475 Extension for response within 2nd month	
1254	1,480	2254	740 Extension for response within 3rd month	
1255	2,010	2255	1,005 Extension for response within 4th month	
1401	330	2401	165 Extension for response within 5th month	
1402	330	2402	165 Notice of Appeal	
1403	290	2403	145 Filing a brief in support of an appeal	
1451	1,510	1451	1,510 Request for oral hearing	
1452	110	2452	55 Petition to institute a public use proceeding	
1453	1,330	2453	665 Petition to revive unavoidably abandoned application	
1501	1,330	2501	665 Petition to revive unintentionally abandoned application	
1502	480	2502	240 Utility issue fee (or reissue)	
1503	640	2503	320 Design issue fee	
1460	130	1460	130 Petitions to the Commissioner	
1807	50	1807	50 Petitions related to provisional applications	
1806	180	1806	180 Submission of IDS	
8021	40	8021	40 Recording each patent assignment per property (times number of properties)	40.00
1809	770	2809	385 Filing a submission after final rejection (37 CFR 1.129(a))	
1810	770	2810	385 For each additional invention to be examined (37 CFR 1.129(b))	
1801	770	2801	385 Request for Continued Examination (RCE)	
1802	900	1802	900 Request for expedited examination of a design application	
Other fee (specify) _____				
Other fee (specify) _____				
SUBTOTAL (3) (\$ <u>40.00</u>)				

*Reduced by Basic Filing Fee paid

SUBMITTED BY

Complete (if applicable)

Typed or Printed Name	Bruce H. Bernstein	Reg. Number	29,027
Signature		Date	4/22/04

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